



C4-C2.07

PTO/SB/21 (07-06)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/682,330
Filing Date	October 9, 2003
First Named Inventor	Sanjay P. Ghatare
Art Unit	2165
Examiner Name	FARHAN M. SYED
Attorney Docket Number	021756-014500US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/ Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):
SB/08 Forms
50 References
Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP
Signature	
Printed name	William J. Daley
Date	March 30, 2007

Reg. No. 52,471

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Noorjahan Gillow

Date

March 30, 2007

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PATENT
Attorney Docket No.: 021756-014500US
Client Reference No.: OID-2005-175-01

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Noorjahan Gillow

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sanjay P. Ghatare

Application No.: 10/682,330

Filed: October 9, 2003

For: PARTITIONING DATA ACCESS
REQUESTS

Customer No.: 51206

Confirmation No.: 9815

Examiner: FARHAN M. SYED

Art Unit: 2165

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references (in compliance with the requirements of 37 CFR §1.98(a)(2)) are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

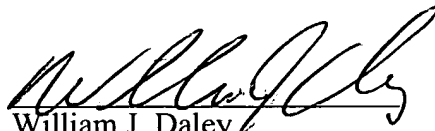
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

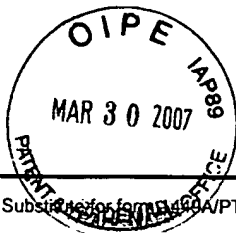
Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: March 28, 2007


William J. Daley
Reg. No. 52,471

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PTO/SB/08A (07-06)

Substitution for form PTO/SB/08A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/682,330		
		Filing Date	October 9, 2003		
		First Named Inventor	Sanjay P. Ghatare		
		Art Unit	2165		
		Examiner Name	FARHAN M. SYED		
Sheet	1	of	8	Attorney Docket Number	021756-014500US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	A1	US-5,664,154	09-02-1997	Purcell et al.	
	A2	US-5,721,900 A	02-24-1998	Banning et al.	
	A3	US-5,724,595	03-03-1998	Gentner	
	A4	US-5,732,408	03-24-1998	Takahashi	
	A5	US-5,815,703	09-29-1998	Copeland et al.	
	A6	US-5,848,273	12-08-1998	Fontana et al.	
	A7	US-5,867,824	02-02-1999	Saito et al.	
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	A10	US-5,987,471	11-16-1999	Bodine et al.	
	A11	US-6,078,747	06-20-2000	Jewitt, James W.	
	A12	US-6,104,705 A	08-15-2000	Ismail et al.	
	A13	US-6,105,006	08-15-2000	Davis et al.	
	A14	US-6,134,634	10-17-2000	Marshall, Jr. et al.	
	A15	US-6,145,003	11-07-2000	Sanu et al.	
	A16	US-6,195,710 B1	02-27-2001	Borgendale et al.	
	A17	US-6,223,291 B1	04-24-2001	Puhl et al.	
	A18	US-6,253,239 B1	06-26-2001	Shklar et al.	
	A19	US-6,253,257 B1	06-26-2001	Dundon, Jack P.	
	A20	US-6,260,077 B1	07-10-2001	Rangarajan et al.	
	A21	US-6,275,916 B1	08-14-2001	Weldon, Jr. et al.	
	A22	US-6,278,993 B1	08-21-2001	Kumar et al.	
	A23	US-6,279,043 B1	08-21-2001	Hayward et al.	
	A24	US-6,314,470 B1	11-06-2001	Ward et al.	
	A25	US-6,323,881	11-27-2001	Broulik	
	A26	US-6,349,306 B1	02-19-2002	Malik et al.	
	A27	US-6,353,929	03-05-2002	Houston, John S.	
	A28	US-6,356,996	03-12-2002	Adams, Phillip M.	
	A29	US-2002-0038306 A1	03-28-2002	Griffin et al.	
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	A31	US-6,401,101 B1	06-04-2002	Britton et al.	
	A32	US-6,401,138	06-04-2002	Judge et al.	
	A33	US-2002-0083178 A1	06-27-2002	Brothers, John David West	
	A34	US-6,415,368	07-02-2002	Glance et al.	
	A35	US-6,487,600 B1	11-26-2002	Lynch, Thomas W.	

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/682,330	
			Filing Date	October 9, 2003	
			First Named Inventor	Sanjay P. Ghatare	
			Art Unit	2165	
			Examiner Name	FARHAN M. SYED	
Sheet	2	of	8	Attorney Docket Number	021756-014500US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A36	US-6,490,655 B1	12-03-2002	Kershaw	
	A37	US-6,499,023 B1	12-24-2002	Dong et al.	
	A38	US-2003-0028752 A1	02-06-2003	Fu et al.	
	A39	US-6,523,022 B1	02-18-2003	Hobbs, Allen	
	A40	US-2003-0037052 A1	02-20-2003	Kitain et al.	
	A41	US-6,529,941	03-04-2003	Haley et al.	
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	A56	US-2003-0204481	10-30-2003	Lau, Christina P.	
	A57	US-2003-0217333 A1	11-20-2003	Smith et al.	
	A58	US-6,671,745 B1	12-30-2003	Mathur et al.	
	A59	US-2004-0010514 A1	01-15-2004	Agarwal et al.	
	A60	US-2004-0010519 A1	01-15-2004	Sinn et al.	
	A61	US-2004-0010520 A1	01-15-2004	Tsang et al.	
	A62	US-2004-0010591 A1	01-15-2004	Sinn et al.	
	A63	US-2004-0010606 A1	01-15-2004	Delaney et al.	
	A64	US-2004-0010607 A1	01-15-2004	Lee et al.	
	A65	US-2004-0010665 A1	01-15-2004	Agarwal et al.	
	A66	US-2004-0010791 A1	01-15-2004	Jain et al.	
	A67	US-2004-0024762 A1	02-05-2004	Agarwal et al.	
	A68	US-6,701,368	03-02-2004	Chennapragada et al.	
	A69	US-6,704,807 B1	03-09-2004	Mathur et al.	
	A70	US-6,704,873 B1	03-09-2004	Underwood, Roy Aaron	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/682,330	
			Filing Date	October 9, 2003	
			First Named Inventor	Sanjay P. Ghatare	
			Art Unit	2165	
			Examiner Name	FARHAN M. SYED	
Sheet	3	of	8	Attorney Docket Number	021756-014500US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A71	US-6,708,170 B1	03-16-2004	Byrne et al.	
	A72	US-6,721,804 B1	04-13-2004	Rubin et al.	
	A73	US-6,732,178 B1	05-04-2004	Van Horne et al.	
	A74	US-6,741,853 B1	05-25-2004	Jiang et al.	
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	A81	US-7,035,926	04-25-2006	Cohen et al.	
	A82	US-7,039,871 B2	05-02-2006	Cronk, David Wesley	
	A83	US-7,069,330	06-27-2006	McArdle et al.	
	A84	US-7,114,037 B2	09-26-2006	Agarwal et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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Sheet	4	of	8	Attorney Docket Number	021756-014500US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Camenisch, Jan et al., "Privacy and Identity Management for Everyone", ACM, 2005, 8 pages	<input type="checkbox"/>
	C2	Kim, K.H., "APIs for Real-Time Distributed Object Programming", Computer, IEEE 2000, June, pp72-80	<input type="checkbox"/>
	C3	McLellan, et al., "Building More Usable APIs", IEEE Software, pp 78-86, May/June, 1998	<input type="checkbox"/>
	C4	Microsoft, "Microsoft's Vision for an Identity Metasystem", Microsoft Corporation, http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm , printed date October 6, 2006, 9 pages	<input type="checkbox"/>
	C5	PC PRO, "Identity Systems", http://research.pcpro.co.uk/detail/ORG/1139341311_744.html , printed date October 6, 2006, 2 pages	<input type="checkbox"/>
	C6	Prasad, CK, "Distributing Sun Java System Identity Server Applications Using Java Web Start", Article Index, April 2004, 8 pages	<input type="checkbox"/>
	C7	Saba - Products, Saba Enterprise 5, dated 1997-2006, 2 pages	<input type="checkbox"/>
	C8	Saba - Company, dated 1997-2006, 1 page	<input type="checkbox"/>
	C9	Saba - Solutions, Competency-Driven HCM, dated 1997-2006, 1 page	<input type="checkbox"/>
	C10	Saba - Talent, dated 1997-2006, 2 pages	<input type="checkbox"/>
	C11	Saba - Collaboration, dated 1997-2006, 1 page	<input type="checkbox"/>
	C12	Stets, et al., "Component-Based APIs for Versioning and Distributed Applications", IEEE, pp 54-61, 1999	<input type="checkbox"/>
Examiner Signature			Date Considered

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Sheet	5	of	8	Attorney Docket Number	021756-014500US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C13	US Patent Application No. 09/570,276, Office Action dated November 14, 2006, 28 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/997,409, Office Action dated May 3, 2006, 9 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/997,409, Office Action dated October 20, 2006, 9 pages	<input type="checkbox"/>
	C16	US Patent Application No. 09/998,893, Office Action dated July 17, 2006, 17 pages	<input type="checkbox"/>
	C17	US Patent Application No. 09/998,893, Final Office Action dated January 4, 2007, 12 pages	<input type="checkbox"/>
	C18	US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 22 pages	<input type="checkbox"/>
	C19	US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 17 pages	<input type="checkbox"/>
	C20	US Patent Application No. 09/998,898, Office Action dated March, 28, 2006, 7 pages	<input type="checkbox"/>
	C21	US Patent Application No. 09/998,898, Advisory Office Action dated December 29, 2006, 3 pages	<input type="checkbox"/>
	C22	US Patent Application No. 09/998,898, Office Action dated March 7, 2007, 10 pages	<input type="checkbox"/>
	C23	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 25 pages	<input type="checkbox"/>
	C24	US Patent Application No. 09/998,910, Final Office Action dated February 14, 2007, 15 pages	<input type="checkbox"/>
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	C25	US Patent Application No. 09/998,914, Final Office Action dated February 16, 2007, 13 pages	<input type="checkbox"/>
	C26	US Patent Application No. 09/998,916, Advisory Action dated April 5, 2006, 11 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/998,916, Office Action dated September 5, 2006, 20 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/998,916, Office Action dated February 23, 2007 12 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 19 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/998,926, Office Action dated February 26, 2007, 16 pages	<input type="checkbox"/>
	C31	US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 25 pages	<input type="checkbox"/>
	C32	US Patent Application No. 10/146,150, Office Action dated May 30, 2006, 18 pages	<input type="checkbox"/>
	C33	US Patent Application No. 10/146,150, Advisory Office Action dated December 5, 2006, 13 pages	<input type="checkbox"/>
	C34	US Patent Application No. 10/314,888, Office Action dated July 26, 2006, 26 pages	<input type="checkbox"/>
	C35	US Patent Application No. 10/314,888, Office Action dated February 9, 2007, 34 pages	<input type="checkbox"/>
	C36	US Patent Application No. 10/325,465, Office Action dated September 19, 2006, 25 pages	<input type="checkbox"/>
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	C37	US Patent Application No. 10/327,607, Office Action dated February 13, 2006, 5 pages	<input type="checkbox"/>
	C38	US Patent Application No. 10/327,607, Office Action dated April 18, 2006, 33 pages	<input type="checkbox"/>
	C39	US Patent Application No. 10/328,920, Office Action dated May 31, 2006, 20 pages	<input type="checkbox"/>
	C40	US Patent Application No. 10/345,873, Office Action dated September 9, 2005, 13 pages	<input type="checkbox"/>
	C41	US Patent Application No. 10/345,873, Office Action dated March 10, 2006, 7 pages	<input type="checkbox"/>
	C42	US Patent Application No. 10/345,879, Office Action dated October 5, 2006, 30 pages	<input type="checkbox"/>
	C43	US Patent Application No. 10/354,913, Office Action dated September 9, 2003, 19 pages	<input type="checkbox"/>
	C44	US Patent Application No. 10/354,913, Final Office Action dated April 6, 2004, 22 pages	<input type="checkbox"/>
	C45	US Patent Application No. 10/354,913, Office Action dated April 15, 2005, 20 pages	<input type="checkbox"/>
	C46	US Patent Application No. 10/354,913, Final Office Action dated December 5, 2005, 15 pages	<input type="checkbox"/>
	C47	US Patent Application No. 10/354,913, Office Action dated May 12, 2006, 16 pages	<input type="checkbox"/>
	C48	US Patent Application No. 10/354,914, Office Action dated August 1, 2005, 15 pages	<input type="checkbox"/>
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/682,330
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	FARHAN M. SYED
Sheet	8	of	8	Attorney Docket Number	021756-014500US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C49	US Patent Application No. 10/354,914, Final Office Action dated February 10, 2006, 16 pages	<input type="checkbox"/>
	C50	Wahl, M., "Informed Control Enabling Identity Interoperability", http://www.ldap.com/1/commentary/wahl/20050203_01.shtml , Feb 3, 2005, 3 pages	<input type="checkbox"/>

Examiner Signature		Date Considered	
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